

07/03/01
 Jc904 U.S. PTO

07-05-01

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)
 Approved for use through 10/31/2002. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

+

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|--|---|
| Attorney Docket No. | 42390P11768 |
| First Inventor or Application Identifier | Todd A. Anderson |
| Title | AN APPARATUS AND METHOD FOR SECURE, AUTOMATED RESPONSE TO |
| Express Mail Label No. | EL651893745US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)
 (Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
- ☒ Specification Total Pages
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
- Oath or Declaration Total Pages
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 18 completed)
 - ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76.

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CFR)
 - ☐ Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
 (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
- ☐ Request and Certification under 35 USC 122(b)(2)(B)(i).
 Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____/_____

Prior application Information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



08791

or ☐ Correspondence address below

| | | | | | |
|---------|---|-----------|----------------|----------|----------------|
| Name | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | | | |
| Address | 12400 Wilshire Boulevard, Seventh Floor | | | | |
| City | Los Angeles | State | California | Zip Code | 90025 |
| Country | U.S.A. | Telephone | (310) 207-3800 | Fax | (310) 820-5988 |

| | | | |
|-------------------|------------------------------|------|----------|
| Name (Print/Type) | Joseph Lutz, Reg. No. 43,765 | | |
| Signature | | Date | 07/03/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Jc903 U.S. PTO
 09/898849

07/03/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1300.00

Complete if Known

| | |
|------------------------|--------------------------|
| Application Number | |
| Filing Date | 07/03/01 |
| First Named Inventor | Todd A. Anderson, et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket Number | 42390P11768 |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description | Fee Paid |
|-------------------|-------------------|----------|---|----------|
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 Non-English specification | |
| 147 | 2,520 | 147 | 2,520 For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 Extension for response within first month | |
| 116 | 390 | 216 | 195 Extension for response within second month | |
| 117 | 890 | 217 | 445 Extension for response within third month | |
| 118 | 1,390 | 218 | 695 Extension for response within fourth month | |
| 128 | 1,890 | 228 | 945 Extension for response within fifth month | |
| 119 | 310 | 219 | 155 Notice of Appeal | |
| 120 | 310 | 220 | 155 Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 Petition to revive - unavoidably | |
| 141 | 1,240 | 241 | 620 Petition to revive - unintentionally | |
| 142 | 1,240 | 242 | 620 Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 Design issue fee | |
| 144 | 600 | 244 | 300 Plant issue fee | |
| 122 | 130 | 122 | 130 Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application | |

Other fee (specify) _____

FEE CALCULATION

1. FILING FEE

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description | Fee Paid |
|---------------------|-------------------|----------|---------------------------|--------------------|
| 101 | 710 | 201 | 355 Utility filing fee | \$710 |
| 106 | 320 | 206 | 160 Design filing fee | |
| 107 | 490 | 207 | 245 Plant filing fee | |
| 108 | 710 | 208 | 355 Reissue filing fee | |
| 114 | 150 | 214 | 75 Provisional filing fee | |
| SUBTOTAL (1) | | | | (\$) 710.00 |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 35 | 20** = 15 | X \$18.00 = | 270.00 |
| 7 | -3** = 4 | X \$80.00 = | 320.00 |
| Multiple Dependent | | | |

Large Entity Small Entity

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description |
|--------------------------|-------------------|----------|---|
| 103 | 18 | 203 | 9 Claims in excess of 20 |
| 102 | 80 | 202 | 40 Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 Multiple Dependent claim |
| 109 | 80 | 209 | 40 **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) | | | |

**or number of previously paid, if greater; For Reissues, see above

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or Printed Name Joseph Lutz, Reg. No. 43,765

Signature

Date

07/03/01

Complete (if applicable)

Reg. Number

Deposit Account User ID

02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.